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07-6-03

n re application of: Robert H. FAGAN et al.

Appl. No.: 10/043,879

Confirmation No.: 6278

Filed: January 14, 2002

For:

SECURE MUTUAL

AUTHENTICATION SYSTEM

Art Unit: 2131

Examiner: Not Yet Assign

Atty. Docket No.: 20846-176942

Customer No.

26604

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Third Information Disclosure Statement Under 37 C.F.R. § 1.97(c) and 1.97(e)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c).

Attached is a PTO-Form 1449 listing the documents cited in the enclosed United Kingdom Search Report, where the relevance is indicated. No further statement of relevance is required.

Pursuant to 37 C.F.R § 1.97(e)(1), on information and belief each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Applicant(s): Robert H. FAGAN et al.

Appl. No.: 10/043,879

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Date: <u>January</u> 27, 2003

Respectfully submitted,

Michael A. Sartori, Ph.D. Registration No. 41,289

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

 Complete if Known

 Application Number
 10/043,879

 Filing Date
 January 14, 2002

 First Named Inventor
 Robert H. FAGAN et al.

 Group Art Unit
 2131

 Examiner Name
 Not Yet Assigned

 Attorney Docket Number
 20846-176942

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code² (if known) 5,875,296		Name of Patentee or Applicant of Cited Document Shaw-Ben SHI et al.	Date of Publication of Cited Document MM-DD-YYYY 02-23-1999	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	For Office ³	eign Patent Doo Number ⁴	ument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ₆
	A2	WO	WO 02/39237	A2	IBM	05-16-2002		
	A3	wo	WO 00/67415		First Data Corp.	11-09-2000		

Examiner Signature	 Date Considered	

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.